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PATENT

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3/18/02
Date

Pat J. James
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

BANKIEWICZ et al.

Serial No.: 09/887,854

Filing Date: June 21, 2001

Title: CONVECTION-ENHANCED DELIVERY OF AAV VECTORS

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The information listed below may be material to the examination of the above-identified application. Copies of the information and completed PTO-1449 forms are submitted herewith. The Examiner is respectfully requested to make this information of official record in the application. All references not previously of record in related application serial number 09/320,171 from which the present application claims priority under 35 U.S.C. §120 are being submitted herewith. Thus, pursuant to C.F.R. §1.98(d), copies of the references are not included. The information includes:

- United States Patent No. 5,139,941 issued August 18, 1992, to Muzyczka et al.;
- United States Patent No. 5,173,414 issued Dec. 22, 1992, to Lebkowski et al.;
- United States Patent No. 5,399,346 issued March 21, 1995 to Anderson et al.;
- United States Patent No. 5,677,158 issued October 14, 1997, to Zhou et al.;
- United States Patent No. 5,720,720 issued February 24, 1998 to Laske et al.;

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Group Art Unit: 1633

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United States Patent No. 6,103,226 issued August 15, 2000 to Kang et al.;
United States Patent No. 6,180,613 issued January 30, 2001 to Kaplitt et al.;
International Publication No. WO 95/05864, published March 2, 1995;
International Publication No. WO 95/28493, published October 26, 1995;
International Publication No. WO 95/34670, published December 21, 1995;
International Publication No. WO 97/17458, published May 15, 1997;
International Publication No. WO 98/00014, published January 8, 1998;
German Patent Application No. DE 3841955 A1, July 13, 1989;
Patent Abstracts of Japan vol. 013, No. 328 (C-621), July 24, 1989;
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This Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Respectfully submitted,

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